# Cohomological constraint to deformations of compact Kähler manifolds

Marco Manetti\* Università di Roma "La Sapienza", Italy

Dedicated to the memory of Fabio Bardelli

#### Abstract

We prove that for every compact Kähler manifold X the cup product

$$H^*(X,T_X)\otimes H^*(X,\Omega_X^*)\to H^*(X,\Omega_X^{*-1})$$

can be lifted to an  $L_{\infty}$ -morphism from the Kodaira-Spencer differential graded Lie algebra to the suspension of the space of linear endomorphisms of the singular cohomology of X. As a consequence we get an algebraic proof of the principle "obstructions to deformations of compact Kähler manifolds annihilate ambient cohomology".

Mathematics Subject Classification (2000): 32G05

#### Introduction

In this paper we give an algebraic proof of the principle "obstructions to deformations of compact Kähler manifolds annihilate ambient cohomology" recently proved, in a different way, by Herb Clemens [4] and Ziv Ran [18].

Let X be a fixed compact Kähler manifold of dimension n and consider the graded vector space  $M_X = \operatorname{Hom}^*_{\mathbb{C}}(H^*(X,\mathbb{C}), H^*(X,\mathbb{C}))$  of linear endomorphisms of the singular cohomology of X. The Hodge decomposition gives natural isomorphisms

$$M_X = \bigoplus_i M_X^i, \qquad M_X^i = \bigoplus_{r+s=p+q+i} \operatorname{Hom}_{\mathbb{C}}(H^p(\Omega_X^q), H^r(\Omega_X^s))$$

and the composition of the cup product and the contraction operator  $T_X \otimes \Omega_X^p \xrightarrow{\vdash} \Omega_X^{p-1}$  gives natural linear maps

$$\theta_p \colon H^p(X,T_X) \to \bigoplus_{r,s} \mathrm{Hom}_{\mathbb{C}}^*(H^r(\Omega_X^s),H^{r+p}(\Omega_X^{s-1})) \subset M[-1]_X^p = M_X^{p-1}.$$

The Dolbeaut's complex of the holomorphic tangent bundle  $T_X$ 

$$KS_X = \bigoplus_p KS_X^p, \qquad KS_X^p = \Gamma(X, \mathcal{A}^{0,p}(T_X))$$

has a natural structure of differential graded Lie algebra (DGLA), [3], [8], [11, 3.4.1], called the Kodaira-Spencer algebra of X. By Dolbeaut's theorem  $H^*(KS_X) = H^*(X, T_X)$  and then the maps  $\theta_i$  give a morphism of graded vector spaces  $\theta \colon H^*(KS_X) \to M[-1]_X$ . This morphism is generally nontrivial: consider for instance a Calabi-Yau manifold where the map  $\theta_p$  induces an isomorphism  $H^p(X, T_X) = \operatorname{Hom}_{\mathbb{C}}(H^0(\Omega_X^n), H^p(\Omega_X^{n-1}))$ .

<sup>\*</sup>Partially supported by Italian MURST-PRIN 'Spazi di moduli e teoria delle rappresentazioni'. Member of GNSAGA of CNR.

**Theorem A.** In the above notation, consider  $M[-1]_X$  as a differential graded Lie algebra with trivial differential and trivial bracket.

Every choice of a Kähler metric on X induces a canonical lifting of  $\theta$  to an  $L_{\infty}$ -morphism from  $KS_X$  to  $M[-1]_X$ .

The above theorem, together some standard and purely formal results in Schlessinger's theory, gives immediate applications to the study of deformations of X. In fact the deformations of X are governed by the Kodaira-Spencer differential graded Lie algebra  $KS_X$  and every  $L_{\infty}$ -morphism between DGLAs induces a natural transformation between the associated deformation functors. The triviality of the DGLA structure on  $M[-1]_X$  allows to prove easily the following:

**Corollary B.** Let  $f: \mathcal{Y} \to \mathcal{B}$  be the semiuniversal deformation of a compact Kähler manifold Y and let  $X \xrightarrow{\pi} Y$  be a finite unramified covering. For every  $p \geq 0$  denote by  $\alpha_p$  the composite linear map

$$\alpha_p \colon H^p(Y, T_Y) \xrightarrow{\pi^*} H^p(X, T_X) \xrightarrow{\theta_p} \bigoplus_{r,s} \operatorname{Hom}_{\mathbb{C}}(H^r(\Omega_X^s), H^{r+p}(\Omega_X^{s-1})).$$

Then:

- 1. If  $\alpha_1$  is injective then  $f: \mathcal{Y} \to \mathcal{B}$  is universal.
- 2. There exists a morphisms of complex analytic singularities  $q: (H^1(Y, T_Y), 0) \to (\ker \alpha_2, 0)$  such that  $\mathcal{B}$  is isomorphic to  $q^{-1}(0)$ . In particular if  $\alpha_2$  is injective then  $\mathcal{B}$  is smooth.

As an example, if Y is a projective manifold with torsion canonical bundle and  $\pi \colon X \to Y$  is the canonical covering, then all the maps  $\alpha_p$  are injective.

Probably the main interesting aspect of Theorem A is that it gives a concrete construction of a morphism whose existence is predicted by the general philosophy of extended deformation theory.

Roughly speaking, to every deformation problem over a field of characteristic 0, it is associated a differential graded Lie algebra L, unique up to quasiisomorphism, and a formal pointed quasismooth dg-manifold  $\mathcal{M}$  quasiisomorphic to L as  $L_{\infty}$ -algebra. The differential graded Lie algebra L governs the deformation problem via the solutions Maurer-Cartan modulo gauge action and the truncation in degree 0 of  $\mathcal{M}$  is the classical moduli space (cf. [15], Section 2 of [2] and references therein).

Moreover, according to this general philosophy, every natural morphism between moduli spaces (e.g. the period map from deformations of a compact Kähler manifold to deformations of its Hodge decomposition) should extend to a morphism of their extended moduli spaces and therefore induces an  $L_{\infty}$ -morphism between the associated differential graded Lie algebras.

The author thanks A. Canonaco for his useful help in the preparation of the paper.

#### Notation

For every holomorphic vector bundle E on a complex manifold we denote by  $\mathcal{A}^{p,q}(E)$  the sheaf of differential (p,q)-forms with coefficients in E.

For every vector space V and every linear functional  $\alpha: V \to \mathbb{C}$  we denote by  $\alpha \vdash : \bigwedge^k V \to \bigwedge^{k-1} V$  the contraction operator

$$\alpha \vdash (v_1 \land \ldots \land v_k) = \sum_{i=1}^k (-1)^{i-1} \alpha(v_i) v_1 \land \ldots \land \widehat{v_i} \land \ldots \land v_k.$$

We point out for later use that  $\alpha \vdash$  is a derivation of degree -1 of the graded algebra  $(\bigwedge^* V, \wedge)$ .

We denote by  $\Sigma_m$  the symmetric group of permutations of the set  $\{1, 2, \ldots, m\}$  and, for every  $0 \le p \le m$  by  $S(p, m-p) \subset \Sigma_m$  the set of unshuffles of type (p, m-p). By definition  $\sigma \in S(p, m-p)$  if and only if  $\sigma_1 < \sigma_2 < \ldots < \sigma_p$  and  $\sigma_{p+1} < \sigma_{p+2} < \ldots < \sigma_m$ .

## 1 $L_{\infty}$ -morphisms

Let  $V = \oplus V^i$  be a  $\mathbb{Z}$ -graded vector space, for every integer n we denote by  $V[n] = \oplus V[n]^i$  the graded vector space where  $V[n]^i = V^{n+i}$ . The space V[-1] is also called the suspension of V and V[1] the unsuspension.

The graded m-th symmetric power of V is denoted by  $\bigcirc^m V$ . If  $\sigma \in \Sigma_m$  and  $a_1, \ldots, a_m \in V$  are homogeneous elements, the Koszul sign  $\epsilon(V, \sigma; a_1, \ldots, a_m) = \pm 1$  is defined by the rule

$$a_{\sigma_1} \odot \ldots \odot a_{\sigma_m} = \epsilon(V, \sigma; a_1, \ldots, a_m) a_1 \odot \ldots \odot a_m \in \bigcirc^m V.$$

For simplicity of notation we write  $\epsilon(V, \sigma)$  when the elements  $a_1, \ldots, a_m$  are clear from the context. If  $a \in V$  is homogeneous we denote by  $\deg(a, V)$  its degree; we also write  $\deg(a, V) = \overline{a}$  when there is no ambiguity about V. Note that  $\deg(a, V[n]) = \deg(a, V) - n$ . We denote by C(V) the reduced graded symmetric coalgebra generated by V[1]; more precisely it is the graded vector space

$$C(V) = \overline{S}(V[1]) = \bigoplus_{m=1}^{\infty} \bigcirc^{m}(V[1])$$

endowed with the coproduct  $\Delta \colon C(V) \to C(V) \otimes C(V), \ \Delta(a) = 0$  for every  $a \in V[1]$  and

$$\Delta(a_1 \odot \ldots \odot a_m) = \sum_{r=1}^{m-1} \sum_{\sigma \in S(r,m-r)} \epsilon(V[1],\sigma)(a_{\sigma_1} \odot \ldots \odot a_{\sigma_r}) \otimes (a_{\sigma_{r+1}} \odot \ldots \odot a_{\sigma_m})$$

for every  $a_1, \ldots, a_m \in V[1], m \geq 2$ .

Assume now that V has a structure of differential graded Lie algebra with differential d and bracket  $[\ ,\ ]$ , then the linear map

$$Q: \bigcirc^2(V[1]) \to V[1], \qquad Q(a \odot b) = (-1)^{\deg(a,V[1])}[a,b]$$

has degree 1 and the map  $\delta \colon C(V) \to C(V)$  defined by

$$\delta(a_1 \odot \ldots \odot a_m) = \sum_{\sigma \in S(1, m-1)} \epsilon(V[1], \sigma; a_1, \ldots, a_m) da_{\sigma_1} \odot a_{\sigma_2} \odot \ldots \odot a_{\sigma_m} + \sum_{\sigma \in S(2, m-2)} \epsilon(V[1], \sigma; a_1, \ldots, a_m) Q(a_{\sigma_1} \odot a_{\sigma_2}) \odot a_{\sigma_3} \odot \ldots \odot a_{\sigma_m}$$

$$(1)$$

is a codifferential of degree 1 on the coalgebra C(V). The differential graded coalgebra  $(C(V), \delta)$  is called the  $L_{\infty}$ -algebra associated to the DGLA  $(V, d, [\ ,\ ])$ .

By definition, an  $L_{\infty}$ -morphism between two DGLA V, V' is a morphism of differential graded coalgebras  $\Theta \colon (C(V), \delta) \to (C(V'), \delta')$ .

It is easy to check that if  $f: V \to V'$  is a morphism of differential graded Lie algebras then the linear map

$$(C(V), \delta) \to (C(V'), \delta'), \qquad a_1 \odot \ldots \odot a_m \to f(a_1) \odot \ldots \odot f(a_m)$$

is an  $L_{\infty}$ -morphism. We refer to [11], [12], [13], [20] for the general theory of  $L_{\infty}$ -morphisms. In this paper we are interested only in the particular and simple case when V' has trivial differential and trivial bracket: under these assumption  $\delta' = 0$  and there exists a bijection between the set of  $L_{\infty}$ -morphism  $\Theta \colon (C(V), \delta) \to (C(V'), 0)$  and the set of morphisms of graded vector spaces  $F \colon C(V) \to V'[1]$  such that  $F \circ \delta = 0$ . The bijection is given by the formulas

$$F = p_1 \circ \Theta,$$
  $p_1: C(V') \to \bigcirc^1 V'[1] = V'[1]$  the projection

$$\Theta = \sum_{m=1}^{\infty} \frac{1}{m!} F^{\odot m} \circ \Delta_{C(V)}^{m-1} \colon C(V) \to C(V')$$

where  $F^{\odot m}$  is the composition of  $F^{\otimes m}: \bigotimes^m C(V) \to \bigotimes^m (V'[1])$  with the projection onto the symmetric product  $\bigotimes^m (V'[1]) \to \bigcirc^m (V'[1])$ .

Let  $F_1: V[1] \to V'[1]$  the composition of F with the inclusion  $V[1] \hookrightarrow C(V)$ . Just to explain the statement of Theorem A we observe that the condition  $F \circ \delta = 0$  implies  $F_1 \circ d = 0$  and then  $F_1$  induce a map in cohomology  $\theta: H^*(V) \to H^*(V') = V'$ .

### 2 Proof of Theorem A

Let X be a complex manifold of dimension n; consider the graded vector space  $L = \oplus L^p$ , where  $L^p = \Gamma(X, \mathcal{A}^{0,p+1}(T_X)), -1 \leq p \leq n-1$ , and two linear maps of degree  $+1, d: L \to L$ ,  $Q: \odot^2 L \to L$  defined in the following way: if  $z_1, \ldots, z_n$  are local holomorphic coordinates, then

$$d\left(\phi \frac{\partial}{\partial z_i}\right) = (\overline{\partial}\phi) \frac{\partial}{\partial z_i}, \qquad \phi \in \mathcal{A}^{0,*}.$$

If I, J are ordered subsets of  $\{1, \ldots, n\}$ ,  $a = f d\overline{z}_I \frac{\partial}{\partial z_i}$ ,  $b = g d\overline{z}_J \frac{\partial}{\partial z_j}$ ,  $f, g \in \mathcal{A}^{0,0}$  then

$$Q(a \odot b) = (-1)^{\overline{a}} d\overline{z}_I \wedge d\overline{z}_J \left( f \frac{\partial g}{\partial z_i} \frac{\partial}{\partial z_j} - g \frac{\partial f}{\partial z_j} \frac{\partial}{\partial z_i} \right), \qquad \overline{a} = \deg(a, L).$$

The equation (1), with L in place of V[1], gives a codifferential  $\delta$  of degree 1 on  $\overline{S}(L)$  and the differential graded coalgebra  $(\overline{S}(L), \delta)$  is exactly the  $L_{\infty}$ -algebra associated to the Kodaira-Spencer DGLA  $KS_X$ .

If  $\operatorname{Der}^p(\mathcal{A}^{*,*}, \mathcal{A}^{*,*})$  denotes the vector space of  $\mathbb{C}$ -derivations of degree p of the sheaf of graded algebras  $(\mathcal{A}^{*,*}, \wedge)$ , where the degree of a (p,q)-form is p+q (note that  $\partial, \overline{\partial} \in \operatorname{Der}^1(\mathcal{A}^{*,*}, \mathcal{A}^{*,*})$ ), then we can define a morphism of graded vector spaces

$$L \xrightarrow{\widehat{}} \operatorname{Der}^*(\mathcal{A}^{*,*}, \mathcal{A}^{*,*}) = \bigoplus_{p} \operatorname{Der}^p(\mathcal{A}^{*,*}, \mathcal{A}^{*,*}), \qquad a \to \widehat{a}$$

given in local coordinates by

$$\widehat{\phi \frac{\partial}{\partial z_i}}(\eta) = \phi \wedge \left( \frac{\partial}{\partial z_i} \vdash \eta \right).$$

If  $\overline{a} = p$  then  $\widehat{a}$  is a bihomogeneous derivation of bidegree (-1, p+1): in particular  $\widehat{a}(\mathcal{A}^{0,*}) = 0$ .

**Lemma 2.1.** If [ , ] denotes the standard bracket on  $Der^*(\mathcal{A}^{*,*}, \mathcal{A}^{*,*})$ , then for every pair of homogeneous  $a, b \in L$  we have:

1. 
$$\widehat{da} = [\overline{\partial}, \widehat{a}] = \overline{\partial}\widehat{a} - (-1)^{\overline{a}}\widehat{a}\overline{\partial}.$$

2. 
$$\widehat{Q(a \odot b)} = -[[\partial, \widehat{a}], \widehat{b}] = (-1)^{\overline{a}} \widehat{a} \partial \widehat{b} + (-1)^{\overline{a}\overline{b} + \overline{b}} \widehat{b} \partial \widehat{a} \pm \partial \widehat{a} \widehat{b} \pm \widehat{b} \widehat{a} \partial \widehat{b}$$

**Proof** By linearity we may assume  $a = f d\overline{z}_I \frac{\partial}{\partial z_i}$ ,  $b = g d\overline{z}_J \frac{\partial}{\partial z_j}$ ,  $f, g \in \mathcal{A}^{0,0}$ . Moreover all the four expressions are derivations vanishing on the subalgebra  $\mathcal{A}^{0,*}$  and therefore it is sufficient to check the above equalities when computed on the  $dz_i$ 's; since  $\overline{\partial} dz_i = \partial dz_i = \widehat{ab} dz_i = 0$ , the computation becomes straightforward and it is left to the reader.

*Remark.* The apparent asymmetry in the right hand side of Item 2 of the above lemma is easily understood: in fact  $[\hat{a}, \hat{b}] = 0$  and then by Jacobi identity

$$0 = [\partial, [\widehat{a}, \widehat{b}]] = [[\partial, \widehat{a}], \widehat{b}] - (-1)^{\overline{a}\,\overline{b}} [[\partial, \widehat{b}], \widehat{a}].$$

Assume now that X is compact Kähler, fix a Kähler metric on X and denote by:  $A^{p,q} = \Gamma(X, \mathcal{A}^{p,q})$  the vector space of global (p,q)-forms,  $\overline{\partial}^*: A^{p,q} \to A^{p,q-1}$  the adjoint operator of  $\overline{\partial}$ ,  $\Delta_{\overline{\partial}} = \overline{\partial} \overline{\partial}^* + \overline{\partial}^* \overline{\partial}$  the  $\overline{\partial}$ -Laplacian,  $G_{\overline{\partial}}$  the associated Green operator,  $\mathcal{H} \subset A^{*,*}$  the graded vector space of harmonic forms,  $i: \mathcal{H} \to A^{*,*}$  the inclusion and  $h = Id - \Delta_{\overline{\partial}}G_{\overline{\partial}} = Id - G_{\overline{\partial}}\Delta_{\overline{\partial}}: A^{*,*} \to \mathcal{H}$  the harmonic projector.

We identify the graded vector space  $M_X$  with the space of endomorphisms of harmonic forms  $\operatorname{Hom}^*_{\mathbb{C}}(\mathcal{H},\mathcal{H})$ . We also denote by  $N=\operatorname{Hom}^*_{\mathbb{C}}(A^{*,*},A^{*,*})$  the graded associative algebra of linear endomorphisms of the space of global differential forms on X. For notational simplicity we identify  $\operatorname{Der}^*(\mathcal{A}^{*,*},\mathcal{A}^{*,*})$  with its image into N.

Setting  $\tau = G_{\overline{\partial}} \overline{\partial}^* \partial \in N^0$  we have by Kähler identities (cf. [10], [21]):

$$h\partial = \partial h = \tau h = h\tau = \partial \tau = \tau \partial = 0$$

$$[\overline{\partial}, \overline{\partial}^*] = [\overline{\partial}, G_{\overline{\partial}}] = [\overline{\partial}, G_{\overline{\partial}}] = 0, \qquad [\overline{\partial}, \tau] = \overline{\partial}G_{\overline{\partial}}\overline{\partial}^*\partial - G_{\overline{\partial}}\overline{\partial}^*\partial \overline{\partial} = G_{\overline{\partial}}\Delta_{\overline{\partial}}\partial = \partial.$$

We introduce the morphism

$$F_1: L \to M_X, \qquad F_1(a) = h\widehat{a}i.$$

We note that  $F_1$  is a morphism of complexes, in fact  $F_1(da) = h\widehat{dai} = h(\overline{\partial}\widehat{a} \pm \widehat{a}\overline{\partial})i = 0$ . Next we define, for every  $m \geq 2$ , the morphisms of graded vector spaces

$$f_m : \bigotimes^m L \to M_X, \qquad F_m : \bigcirc^m L \to M_X, \qquad F = \sum_{m=1}^{\infty} F_m : \overline{S}(L) \to M_X,$$

$$f_m(a_1 \otimes a_2 \otimes \ldots \otimes a_m) = h\widehat{a_1}\tau\widehat{a_2}\tau\widehat{a_3}\ldots\tau\widehat{a_m}i.$$

$$F_m(a_1 \odot a_2 \odot \ldots \odot a_m) = \sum_{\sigma \in \Sigma_m} \epsilon(L, \sigma; a_1, \ldots, a_m) f_m(a_{\sigma_1} \otimes \ldots \otimes a_{\sigma_m}).$$

**Theorem 2.2.** In the above notation  $F \circ \delta = 0$  and therefore

$$\Theta = \sum_{m=1}^{\infty} \frac{1}{m!} F^{\odot m} \circ \Delta_{C(KS_X)}^{m-1} \colon (C(KS_X), \delta) \to (C(M[-1]_X), 0)$$

is an  $L_{\infty}$ -morphism with linear term  $F_1$ .

**Proof** We need to prove that for every  $m \geq 2$  and  $a_1, \ldots, a_m \in L$  we have

$$F_m\left(\sum_{\sigma\in S(1,m-1)}\epsilon(L,\sigma)da_{\sigma_1}\odot a_{\sigma_2}\odot\ldots\odot a_{\sigma_m}\right)=$$

$$= -F_{m-1} \left( \sum_{\sigma \in S(2, m-2)} \epsilon(L, \sigma) Q(a_{\sigma_1} \odot a_{\sigma_2}) \odot a_{\sigma_3} \odot \ldots \odot a_{\sigma_m} \right),$$

where  $\epsilon(L, \sigma) = \epsilon(L, \sigma; a_1, \dots, a_m)$ .

It is convenient to introduce the auxiliary operators  $q: \bigotimes^2 L \to N[1], \ q(a \otimes b) = (-1)^{\overline{a}} \widehat{a} \partial \widehat{b}$  and  $g_m: \bigotimes^m L \to M[1]_X$ ,

$$g_m(a_1 \otimes \ldots \otimes a_m) = -\sum_{i=0}^{m-2} (-1)^{\overline{a_1} + \overline{a_2} + \ldots + \overline{a_i}} h \widehat{a_1} \tau \ldots \widehat{a_i} \tau q(a_{i+1} \otimes a_{i+2}) \tau \widehat{a_{i+3}} \ldots \tau \widehat{a_m} i.$$

Since for every choice of operators  $\alpha = h, \tau$  and  $\beta = \tau, i$  and every  $a, b \in L$  we have

$$\alpha \widehat{Q(a \odot b)} \beta = \alpha ((-1)^{\overline{a}} \widehat{a} \partial \widehat{b} + (-1)^{\overline{a} \overline{b} + \overline{b}} \widehat{b} \partial \widehat{a}) \beta = \alpha (q(a \otimes b) + (-1)^{\overline{a} \overline{b}} q(b \otimes a)) \beta,$$

a straightforward computation about symmetrization and unshuffles gives

$$\sum_{\sigma \in \Sigma_m} \epsilon(L, \sigma) g_m(a_{\sigma_1} \otimes \ldots \otimes a_{\sigma_m}) = -F_{m-1} \left( \sum_{\sigma \in S(2, m-2)} \epsilon(L, \sigma) Q(a_{\sigma_1} \odot a_{\sigma_2}) \odot a_{\sigma_3} \odot \ldots \odot a_{\sigma_m} \right).$$

On the other hand

$$f_{m}\left(\sum_{i=0}^{m-1}(-1)^{\overline{a_{1}}+\ldots+\overline{a_{i}}}a_{1}\otimes\ldots\otimes a_{i}\otimes da_{i+1}\otimes\ldots\otimes a_{m}\right) =$$

$$=\sum_{i=0}^{m-1}(-1)^{\overline{a_{1}}+\ldots+\overline{a_{i}}}h\widehat{a_{1}}\ldots\widehat{a_{i}}\tau(\overline{\partial}\widehat{a_{i+1}}-(-1)^{\overline{a_{i+1}}}\widehat{a_{i+1}}\overline{\partial})\tau\ldots\tau\widehat{a_{m}}i$$

$$=\sum_{i=0}^{m-2}(-1)^{\overline{a_{1}}+\ldots+\overline{a_{i}}}h\widehat{a_{1}}\ldots\widehat{a_{i}}\tau(-(-1)^{\overline{a_{i+1}}}\widehat{a_{i+1}}\overline{\partial}\tau\widehat{a_{i+2}}+(-1)^{\overline{a_{i+1}}}\widehat{a_{i+1}}\tau\overline{\partial}\widehat{a_{i+2}})\tau\ldots\tau\widehat{a_{m}}i$$

$$=g_{m}(a_{1}\otimes\ldots\otimes a_{m}).$$

Taking the symmetrization of this equality we get

$$\sum_{\sigma \in \Sigma_m} \epsilon(L, \sigma) g_m(a_{\sigma_1} \otimes \ldots \otimes a_{\sigma_m}) = F_m \left( \sum_{\sigma \in S(1, m-1)} \epsilon(L, \sigma) da_{\sigma_1} \odot a_{\sigma_2} \odot \ldots \odot a_{\sigma_m} \right).$$

Since it is clear that  $F_1$  is a morphism of complexes inducing the morphism  $\theta$  in cohomology, Theorem A is proved.

Remark. If X is a Calabi-Yau manifold with holomorphic volume form  $\Omega$ , then the composition of F with the evaluation at  $\Omega$  induces an  $L_{\infty}$ -morphism  $C(KS_X) \to C(\mathcal{H}[n-1])$ . For every  $m \geq 2$ , ev $_{\Omega} \circ F_m : \bigcirc^m L \to \mathcal{H}[n]$  vanishes on  $\bigcirc^m \{a \in L \mid \partial(a \vdash \Omega) = 0\}$ .

The following corollary gives a formality criterion:

Corollary 2.3. In the notation of introduction, if  $\theta: H^*(X, T_X) \to M[-1]_X$  is injective, then  $KS_X$  is  $L_\infty$ -quasiisomorphic to an abelian differential graded Lie algebra.

**Proof** Let  $H \subset M[-1]_X$  be the image of  $\theta$  and let  $p: M[-1]_X \to H$  be a linear projection. Since p is a morphism of DGLA, the composition  $C(KS_X) \xrightarrow{\Theta} C(M[-1]_X) \xrightarrow{p} C(H)$  is an  $L_{\infty}$  quasiisomorphism.

## 3 Applications to deformation theory

All the technical tools used in this section are standard and well exposed in the literature. Let  $\mathbf{Art}$  be the category of local Artinian  $\mathbb{C}$ -algebras  $(A, m_A)$  with residue field  $A/m_A = \mathbb{C}$ . Following [19], by a functor of Artin rings we intend a covariant functor  $\mathcal{F} \colon \mathbf{Art} \to \mathbf{Set}$  such that  $\mathcal{F}(\mathbb{C}) = \{0\}$  is a set of cardinality 1.

With the term Schlessinger's condition we mean one of the four conditions  $(H_1), \ldots, (H_4)$  described in Theorem 2.1 of [19].

**Lemma 3.1.** Let  $\alpha \colon \mathcal{F} \to \mathcal{G}$  be a natural transformation of functors of Artin rings; if  $\mathcal{F}$  satisfies Schlessinger's conditions  $(H_1)$  and  $(H_2)$ ,  $\mathcal{G}$  is prorepresentable and  $\alpha \colon t_{\mathcal{F}} \to t_{\mathcal{G}}$  is injective, then also  $\mathcal{F}$  is prorepresentable.

**Proof** Since  $\mathcal{G}$  is prorepresentable its tangent space  $t_{\mathcal{G}}$  is finite dimensional and then the same holds for  $t_{\mathcal{F}}$ . Moreover for every small extension  $0 \to J \to A \to B \to 0$  there exists a natural transitive free action (cf. [19, 2.15]) of  $t_{\mathcal{G}} \otimes J$  on the nonempty fibres of  $\mathcal{G}(A) \to \mathcal{G}(B)$ . Therefore also  $t_{\mathcal{F}} \otimes J$  acts without fixed points on  $\mathcal{F}(A)$  and then, according to Theorem 2.11 of [19],  $\mathcal{F}$  is prorepresentable.

For every differential graded complex Lie algebra  $K = \oplus K^i$ , we denote respectively by  $MC_K$ ,  $Def_K : \mathbf{Art} \to \mathbf{Set}$  the associated Maurer-Cartan and deformation functors (cf. [7], [8], [15]):

$$\mathrm{MC}_K(A) = \left\{ a \in K^1 \otimes m_A \mid da + \frac{1}{2}[a, a] = 0 \right\}, \qquad \mathrm{Def}_K(A) = \frac{\mathrm{MC}_K(A)}{\exp(K^0 \otimes m_A)}.$$

The functors  $MC_K$  and  $Def_K$  are functors of Artin rings satisfying the Schlessinger's conditions  $(H_1)$ ,  $(H_2)$  (cf. [19],[5]), the projection  $MC_K \to Def_K$  is smooth and the tangent space  $t_{Def_K}$  of  $Def_K$  is naturally isomorphic to  $H^1(K)$ .

- **Example 3.2.** 1. If K has trivial bracket and trivial differential then the gauge action is trivial and therefore, for every  $(A, m_A) \in \mathbf{Art}$ ,  $\mathrm{Def}_K(A) = \mathrm{MC}_K(A) = K^1 \otimes m_A$ ; in particular if  $K^1$  is finite dimensional then  $\mathrm{Def}_K$  is prorepresented by a smooth germ.
  - 2. If  $K = KS_X$  is the Kodaira-Spencer DGLA of a compact complex manifold X then  $Def_K$  is isomorphic to the functor  $Def_X$  of infinitesimal deformations of X (cf. [8]).

The functor  $\operatorname{Def}_K$  has a natural obstruction theory with obstruction space  $H^2(K)$ : this means that for every small extension

$$e: 0 \longrightarrow J \longrightarrow A \xrightarrow{p} B \longrightarrow 0$$

in the category  $\operatorname{Art}$  it is given an "obstruction map"  $ob_e$ :  $\operatorname{Def}_K \to H^2(K) \otimes J$  such that an element  $b \in \operatorname{Def}_K(B)$  lifts to  $\operatorname{Def}_K(A)$  if and only  $ob_e(b) = 0$ . Moreover all the obstruction maps behave functorially with respect to morphisms of small extensions (cf. e.g. [1], [5]). By definition the *primary obstruction map* is the obstruction map  $q_2 = ob_{\epsilon} \colon H^1(K) \to H^2(K)$  relative to the small extension

$$\epsilon: 0 \longrightarrow \mathbb{C} \xrightarrow{t^2} \frac{\mathbb{C}[t]}{(t^3)} \longrightarrow \frac{\mathbb{C}[t]}{(t^2)} \longrightarrow 0.$$

Concretely, if  $b \in \mathrm{MC}_K(B)$  and  $a \in K^1 \otimes m_A$  is a lifting of b, then by the Jacobi identity  $h = da + [a,a]/2 \in K^2 \otimes J$  is a cocycle and its cohomology class  $ob_e(b) = [h] \in H^2(K) \otimes J$  does not depend from the choice of a. It is easy to prove that  $ob_e(b) = 0$  if and only if b can be lifted to  $\mathrm{MC}_K(A)$ .

The map  $ob_e$  is invariant under the gauge action (this follows from a general result [5, 7.5] but it is also easy to prove directly) and then factors to a map  $ob_e$ :  $Def_K(B) \to H^2(K) \otimes J$ . Since the projection  $MC_K \to Def_K$  is smooth, we have that the class of b lifts to  $Def_K(A)$  if and only if  $ob_e(b) = 0$ .

The obstruction space  $O_K \subset H^2(K)$  is by definition the vector space generated by the images the maps  $(Id \otimes f) \circ ob_e$ , where  $f \in \operatorname{Hom}_{\mathbb{C}}(J,\mathbb{C})$  and e ranges over all small extension in  $\operatorname{\mathbf{Art}}$ .

Remark. If the DGLA K is not formal, it may happen that the primary obstruction map vanishes but  $O_K \neq 0$ . If  $O_K^c \subset O_K$  denotes the subspace generated by the obstructions coming from all the curvilinear small extensions

$$0 \longrightarrow \mathbb{C} \xrightarrow{t^n} \frac{\mathbb{C}[t]}{(t^{n+1})} \longrightarrow \frac{\mathbb{C}[t]}{(t^n)} \longrightarrow 0$$

then, by the (abstract)  $T^1$ -lifting theorem [6],  $\operatorname{Def}_K$  is smooth if and only if  $O_K^c = 0$  but in general  $O_K^c \neq O_K$  (cf. [5, 5.7]).

Given two differential graded Lie algebras K, M, every  $L_{\infty}$ -morphism  $\mu \colon C(K) \to C(M)$  induces a natural transformation  $\widetilde{\mu} \colon \operatorname{Def}_K \to \operatorname{Def}_M$  (see e.g. [11], [15]). Writing  $\mu = \sum_{i \le j} \mu_j^i$ ,  $\mu_j^i \colon \bigcirc^j K[1] \to \bigcirc^j M[1]$ , the morphism  $\mu_1^1$  is a morphism of complexes,  $H^1(\mu_1^1) \colon H^1(K) \to H^1(M)$  equals the restriction of  $\widetilde{\mu}$  on tangent spaces and  $H^2(\mu_1^1) \colon H^2(K) \to H^2(M)$  commutes with  $\widetilde{\mu}$  and all the obstruction maps.

**Proposition 3.3.** Let K be a differential graded Lie algebra,  $M \oplus M^i$  be a graded vector space considered as a differential graded Lie algebra with trivial bracket and differential and let  $\mu = \sum_{i \leq j} \mu_i^i \colon C(K) \to C(M)$  be an  $L_{\infty}$ -morphism. Then:

- 1. If  $M^1$  is finite dimensional and  $H^1(\mu_1^1)$  is injective then  $\mathrm{Def}_K$  is prorepresentable.
- 2. The obstruction space  $O_K$  is contained in the kernel of  $H^2(\mu_1^1): H^2(K) \to M^2$ .

**Proof** The first part follows immediately from Lemma 3.1. The second part follows from the fact that all the obstruction maps of the functor  $Def_M$  are trivial.

If X is a compact Kähler manifold we have, in the notation of the Introduction and Section 2, for every  $A \in \mathbf{Art}$ ,

$$\operatorname{Def}_X(A) = \operatorname{Def}_{KS_X}(A) = \frac{\left\{ a \in L^0 \otimes m_A \mid da + \frac{1}{2}Q(a \odot a) = 0 \right\}}{\exp(L^{-1} \otimes m_A)},$$

$$\mathrm{Def}_{M[-1]_X}(A) = M_X^0 \otimes m_A$$

and the natural transformation  $\widetilde{F} \colon \operatorname{Def}_{KS_X} \to \operatorname{Def}_{M[-1]_X}$  associated to the  $L_{\infty}$ -morphism  $\Theta$  of Theorem 2.2 is induced by

$$\widetilde{\Theta}(a) = \sum_{m=1}^{\infty} \frac{1}{m!} F_m(a^{\odot m}) = F(\exp(a) - 1), \quad a \in L^0 \otimes m_A.$$

Since  $M[-1]_X$  carries the trivial structure of DGLA, Proposition 3.3 gives the following result known as the principle "Obstructions to deformations of compact Kähler manifolds annihilate ambient cohomology" (cf. [4, 10.1], [18, 3.5]).

Corollary 3.4. Let X be a compact Kähler manifold and denote by O the kernel of

$$\theta_2 \colon H^2(X, T_X) \to \bigoplus_{r,s} \operatorname{Hom}_{\mathbb{C}}^*(H^r(\Omega_X^s), H^{r+2}(\Omega_X^{s-1})).$$

 $\theta_2 \colon H^2(X,T_X) \to \bigoplus_{r,s} \operatorname{Hom}_{\mathbb{C}}^*(H^r(\Omega_X^s),H^{r+2}(\Omega_X^{s-1})).$  Then for every small extension  $e: 0 {\longrightarrow} J {\longrightarrow} A {\stackrel{p}{\longrightarrow}} B {\longrightarrow} 0$  and every  $b \in \operatorname{Def}_X(B)$ , the obstruction  $ob_e(b)$  belongs to  $O \otimes J$ .

**Proof of Corollary B** We first recall that, if  $\mathcal{Y} \to \mathcal{B}$  is the Kuranishi family of a compact complex manifold Y and  $O \subset H^2(Y,T_Y)$  is the subspace generated by all the obstruction to the deformations of Y, then the singularity  $\mathcal{B}$  is analytically isomorphic to  $q^{-1}(0)$ , where  $q: (H^1(Y, T_Y), 0) \to (O, 0)$  is the Kuranishi map.

The pull-back of forms and vector fields give a morphism of differential graded Lie algebras  $\pi^*: KS_Y \to KS_X$ . The composition of  $\pi^*$  with  $\Theta$  gives an  $L_{\infty}$ -morphism from  $KS_Y$  to  $M[-1]_X$ . It is now sufficient to apply Proposition 3.3.

**Example 3.5.** Let Z be a projective Calabi-Yau manifold of dimension  $n \geq 3$  with  $H^2(\mathcal{O}_Z) =$ 0 and let  $\pi\colon Y\to Z$  be a smooth Galois double cover. Denoting by  $D\subset Z$  the branching divisor,  $R \subset Y$  the ramification divisor and  $\pi_*\mathcal{O}_Y = \mathcal{O}_Z \oplus \mathcal{O}_Z(-L)$  the eigensheaves decomposition we have (cf. [3], [16])  $\mathcal{O}_Y(R) = K_Y = \pi^* \mathcal{O}_Z(L), \, \mathcal{O}_Z(D) = \mathcal{O}_Z(2L),$  an exact sequence of sheaves over Y

$$0 \longrightarrow T_Y \longrightarrow \pi^* T_Z \longrightarrow \mathcal{O}_R(2R) \longrightarrow 0$$

and, for every i,  $H^i(\pi^*T_Z) = H^i(T_Z) \oplus H^i(T_Z(-L))$ ,  $H^i(\mathcal{O}_R(2R)) = H^i(\mathcal{O}_D(D))$ . If L is sufficiently ample then  $H^1(\mathcal{O}_D(D)) = H^2(\mathcal{O}_Z) = 0$ ,  $H^2(T_Z(-L)) = 0$  and then  $H^2(T_Y)$  injects into  $H^2(T_Z)$ . Therefore the cup product with the pull-back of the holomorphic volume form of Z is nondegenerate and then  $\theta_2 \colon H^2(T_Y) \to M^1$  is injective. Applying Corollary B (with X = Y) we get that Y is unobstructed.

### References

- [1] M. Artin: Versal deformations and algebraic stacks. Invent. Math. 27 (1974) 165-189.
- [2] P. Bressler, Y. Soibelman: Mirror symmetry and deformation quantization. Preprint hep-th/0202128.
- [3] F. Catanese: Moduli of algebraic surfaces. Springer L.N.M. 1337 (1988) 1-83.
- [4] H. Clemens: Cohomology and obstructions, I: on the geometry of formal Kuranishi theory. preprint math.AG/9901084.
- [5] B. Fantechi, M. Manetti: Obstruction calculus for functors of Artin rings, I. Journal of Algebra **202** (1998) 541-576.
- [6] B. Fantechi, M. Manetti: On the T<sup>1</sup>-lifting theorem. J. Alg. Geom. 8 (1999) 31-39.
- [7] W.M. Goldman, J.J. Millson: The deformation theory of representations of fundamental groups of compact Kähler manifolds. Publ. Math. I.H.E.S. 67 (1988) 43-96.
- [8] W.M. Goldman, J.J. Millson: The homotopy invariance of the Kuranishi space. Ill. J. Math. **34** (1990) 337-367.
- [9] M. Grassi:  $L_{\infty}$ -algebras and differential graded algebras, coalgebras and Lie algebras. In: Seminari di Geometria Algebrica 1998-1999 Scuola Normale Superiore (1999).

- [10] P. Griffiths, J. Harris: *Principles of Algebraic Geometry*. Wiley-Interscience publication (1978).
- [11] M. Kontsevich: Deformation quantization of Poisson manifolds, I. q-alg/9709040.
- [12] T. Lada, M. Markl: Strongly homotopy Lie algebras. Comm. Algebra 23 (1995) 2147-2161.
- [13] T. Lada, J. Stasheff: Introduction to sh Lie algebras for physicists. Int. J. Theor. Phys. 32 (1993) 1087-1104.
- [14] M. Manetti: Deformation theory via differential graded Lie algebras. In Seminari di Geometria Algebrica 1998-1999 Scuola Normale Superiore (1999).
- [15] M. Manetti: Extended deformation functors. Internat. Math. Res. Notices 14 (2002) 719-756
- [16] R. Pardini: Abelian covers of algebraic varieties. J. Reine Angew. Math. 417 (1991), 191-213.
- [17] D. Quillen: *Rational homotopy theory*. Ann. of Math. **90** (1969) 205-295.
- [18] Z. Ran: Universal variations of Hodge structure and Calabi-Yau-Schottky relations. Invent. Math. 138 (1999) 425–449.
- [19] M. Schlessinger: Functors of Artin rings. Trans. Amer. Math. Soc. 130 (1968) 208-222.
- [20] M. Schlessinger, J. Stasheff: Deformation Theory and Rational Homotopy Type Preprint.
- [21] A. Weil: Introduction à l'étude des variétés Kählériennes. Hermann Paris (1958).

#### Marco Manetti

Dipartimento di Matematica "G. Castelnuovo",

Università di Roma "La Sapienza",

Piazzale Aldo Moro 5, I-00185 Roma, Italy.

manetti@mat.uniroma1.it, http://www.mat.uniroma1.it/people/manetti/